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AMENDMENT TRANSMITTAL LETTER		DOCKET NUMBER: P-IX 2947	
SERIAL NO: 09/203,768	FILING DATE: December 2, 1998	EXAMINER: L. Helms	GROUP ART UNIT: 1642
INVENTION: TUMOR SPECIFIC HUMAN MONOCLONAL ANTIBODIES AND METHODS OF USE			

TO COMMISSIONER FOR PATENTS
ATTN: Box AF

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Attention Box AF, Washington, D.C., 20231 on November 5, 2001.

By: David A. Gay
David A. Gay, Reg. No. 39,200November 5, 2001
Date of Signature

Transmitted herewith is a Response to Final Office Action mailed May 3, 2001, with attached Appendix A, in the above-identified application.

- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☒ Petition for Extension of Time is enclosed (in duplicate).
- ☒ Notice of Appeal from the Examiner to the Board of Patent Appeals and Interferences (in duplicate).
- ☒ No additional claims fee is required.
- ☐ An additional claims fee is required and has been calculated as shown below:

CLAIMS AS AMENDED

	NUMBER AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		NUMBER OF EXTRA CLAIMS PRESENTED		RATE		FEE	
							SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	48	-	48	-	0	x	\$9	\$18	= \$0	\$
INDEPENDENT CLAIMS	14	-	14	-	0	x	\$42	\$84	= \$0	\$
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			<input type="checkbox"/> YES		<input checked="" type="checkbox"/> NO		\$140	\$280	= \$0	\$
							TOTAL ADDITIONAL FEE		\$0	\$

* If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 20, write "20" in this space.

** If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 3, write "3" in this space.

*** If the difference between the "NUMBER AFTER AMENDMENT" and the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 0, write "0" in the space.

Inventors: Watkins and Huse
Serial No.: 09/203,768
Filed: December 2, 1998
Page 2

— Please charge my Deposit Account No. 03-0370 the amount of \$_____. A duplicate copy of this sheet is enclosed.

X A check in the amount of \$620.00 is enclosed, \$160.00 of which covers the fee for filing a Notice of Appeal and \$460.00 of which covers the fee for filing the three-month extension of time.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.


X Any additional filing fees required under 37 C.F.R. 1.16.

X Any patent application processing fees under 37 C.F.R. 1.17.

X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

November 5, 2001
Date



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PATENT

Our Docket: P-IX 2947

RESPONSE UNDER 37 CFR 1.116
EXPEDITED PROCEDURE
EXAMINING GROUP 1642

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Watkins and Huse)

Serial No: 09/203,768)

Filed: December 2, 1998)

For: TUMOR SPECIFIC HUMAN)
MONOCLONAL ANTIBODIES)
AND METHODS OF USE)

ATTN: Box AF
Commissioner for Patents
Washington, D.C. 20231

Group Art Unit: 1642

Examiner: L. Helms

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November 5, 2001
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RESPONSE TO OFFICE ACTION

Responsive to the Office Action mailed May 3, 2001,
entry of the following amendments and remarks is respectfully
requested.

AMENDMENTS

1. A human monoclonal antibody or functional fragment
thereof, comprising at least one Complementarity Determining
Region (CDR) having substantially the amino acid sequence of a
CDR of SEQ ID NO:2 or SEQ ID NO:4, wherein said antibody or
functional fragment thereof specifically binds a neoplastic cell
or antigen thereof.

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